

UNIVERSITY OF THE PHILIPPINES SYSTEM ADMINISTRATION REVISED PRIVACY NOTICE FOR THE QUALITY MANAGEMENT SYSTEM (QMS) TRAINING SESSIONS, CERTIFICATION ACTIVITIES AND PORTALS

The University of the Philippines System is committed to comply with the Philippine Data Privacy Act of 2012 (DPA) <https://www.officialgazette.gov.ph/2012/08/15/republic-act-no-10173/> in order to protect your right to data privacy.

This privacy notice explains in general terms:

- (1) the nature, purpose/(s) and extent of the processing (collection, use, disclosure, destruction and other operations) of your personal data (personal and sensitive personal information) as defined in the DPA;
- (2) the legal basis/(es) for such processing;
- (3) the risks associated with such processing and the measures that UP has put in place to protect your data privacy; and
- (4) your data privacy rights and how you may exercise the same.

The term *UP/University/us* refers to the University of the Philippines System and its Constituent Universities (CU), any of its offices, or any of its officials or authorized personnel.

The term *you/your* refers to the participants of the UPSA Quality Management System training sessions and certification activities as well as officials and personnel authorized to access and use the HUSAY [Home - HUSAY Portal](#) and SURI [UPSA-SURI](#) portals.

Personal data (personal and sensitive personal information) refers to information that identifies you as an individual or information which when put together with other information will identify you as an individual (pseudonymised data).

PERSONAL DATA COLLECTED, PURPOSE(S) AND LEGAL BASIS/ES FOR SUCH PROCESSING

The QMS Secretariat of the Office of the Vice President for Administration (OVPA) of the UPSA, processes the following personal data of QMS participants in paper based and electronic forms:

1. Name
2. Designation
3. UPSA office
4. UP mail email (access to UPSA QMS portals requires prior registration and uses SSO via UP Mail)
5. Office phone number
6. Sex assigned at birth (collected for trainings and other activities in order among others to enable UP to provide demographic information for compliance with gender and development laws)
7. Responses to Google form questions for your participation in QMS trainings and or certification activities and registration for QMS portals such as HUSAY and SURI including your role/s for such portals
8. Photograph(s) and or video images taken strictly for documentation and, if applicable, journalistic purposes e.g. for articles for UP publications
9. Signature (in the attendance sheets)

for the purpose of verifying your identity, designation and your UPSA office, organising, managing and documenting the QMS activities including trainings, internal and external audits, enabling you to access and use the QMS portals such as HUSAY and SURI, conduct research and such other purposes allowed by the DPA and applicable laws, rules and regulations.

UP processes your personal information in order to exercise its right and responsibility of academic freedom under the 1987 Constitution, the UP Charter and other laws, comply with its contractual and legal obligations to you as well as to other public authorities such as, but not limited to, the Commission on Audit, Civil Service Commission, etc. and exercise its legitimate interests pursuant to Secs. 12 b, c and f of the DPA. Refer also to the exemptions to the DPA below.

Note that National Privacy Commission (NPC) the body tasked with implementing the DPA, issued Advisory Opinion 2022-14 https://privacy.gov.ph/wp-content/uploads/2022/08/Advisory-Opinion-No.-2022-014_Redacted.pdf which states:

Processing of personal data within the educational framework in relation to academic freedom.

At this juncture, the NPC would like to clarify that educational institutions may process personal data to achieve the purposes within its educational framework without the need for consent of the data subject. The data subject in an educational setting includes students, faculty and staff. It is then of utmost importance that the school delineates all processing operations, carefully identifying those that are core to the educational framework and those outside of it (e.g. marketing or public relations purposes)

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In the same vein, the NPC respects the same doctrine of Academic Freedom for the processing of personal data within the educational framework, if it is in accordance with the provisions of the DPA and other existing laws, rules and regulations. The NPC will remain neutral on the chosen methods and technology by the educational institution as long as it is within the bounds of the law (footnotes omitted,underscoring supplied).

You may wish to refer to the case of Garcia vs. Faculty Admissions Committee Loyola School of Theology G.R. No. L-40779 November 28,

1975 68 SCRA 277 (1975) cited in *University of the Philippines vs. Arokiaswamy* G.R. No. 134625. August 31, 1999 <https://elibrary.judiciary.gov.ph/thebookshelf/showdocs/1/3688> which states that:

Wide indeed is the sphere of autonomy granted to institutions of higher learning, for the constitutional grant of academic freedom, to quote again from *Garcia v. Faculty Admission Committee, Loyola School of Theology*, "is not to be construed in a niggardly manner or in a grudging fashion (footnotes omitted, underscoring supplied)."

Your personal data will be stored and securely deleted pursuant to the provisions of the National Archives Act and other applicable laws, rules and regulations.

UP also stores your personal data pursuant to Sec. 11 (f) of the DPA which states *Provided*, That personal information collected for other purposes may lie processed for historical, statistical or scientific purposes, and in cases laid down in law may be stored for longer periods: *Provided, further*, That adequate safeguards are guaranteed by said laws authorizing their processing.

UP conducts research on stored, previously processed, de-identified data in order to comply with its legal obligations including its right and responsibility to exercise academic freedom under the 1987 Constitution and the UP Charter. UP as a research university must conduct scientific research in order to produce general demographic information and statistics regarding the University's personnel across various time periods. Such research enables the University to assess whether its policies, programs, as well as procedures and revisions to the same in different years, enable the University to comply with its Charter and other applicable laws, rules and regulations.

Before any research is conducted by UP, so that we will be able to comply with our ethical obligations and uphold your right to privacy, duly

authorized UP personnel will remove identifiers from the applicable dataset such that UP's researcher or research teams who will perform operations on such dataset will not be able to associate your data with you. The research results will only include aggregate or statistical data and general demographic information that does not identify you and any other data subjects.

Kindly note that Sec. 16.C.2 of Memorandum Circular 2023-4 issued by the National Privacy Commission provides that:

The conduct of research where the end results will be anonymized and will only disclose the general demographic of the research subjects does not require the consent of the data subject.

INSTANCES WHEN YOUR RELEVANT PERSONAL DATA MAY BE DISCLOSED BY UP TO THIRD PARTIES AND THE PURPOSE/S AND LEGAL BASIS FOR SUCH DISCLOSURES

Since the QMS training activities are made possible through public funds, your personal data may be disclosed to the Commission on Audit and other public authorities in order to comply with the requirements of such applicable laws, rules and regulations of such entities as well as other public authorities.

Your relevant personal information such as your name, designation, UPSA office and office number may also be disclosed to external auditors for the certification activities.

As also stated in the UP Privacy Notice for Personnel, kindly note that under the Philippine Data Privacy Act the following are exempt from the coverage of such law:

(a) Information about any individual who is or was an officer or employee of a government institution that relates to the position or functions of the individual, including:

(1) The fact that the individual is or was an officer or employee of the government institution;

(2) The title, business address and office telephone number of the individual;

(3) The classification, salary range and responsibilities of the position held by the individual; and

(4) The name of the individual on a document prepared by the individual in the course of employment with the government;

(b) Information about an individual who is or was performing service under contract for a government institution that relates to the services performed, including the terms of the contract, and the name of the individual given in the course of the performance of those services;

(c) Information relating to any discretionary benefit of a financial nature such as the granting of a license or permit given by the government to an individual, including the name of the individual and the exact nature of the benefit;

(d) Personal information processed for journalistic, artistic, literary or research purposes;

(e) Information necessary in order to carry out the functions of public authority ...

DATA PRIVACY RISKS AND HOW UP PROTECTS YOUR PERSONAL DATA

The processing by UP of your personal data carries risks that may involve the confidentiality, integrity, and availability of personal data or the risk that processing will violate the privacy principles and rights of data subjects. UP has put in place reasonable physical (e.g. access control measures such as locks, security personnel, etc.) organizational (e.g. only authorised personnel who have signed the required non-disclosure undertaking and

need such personal data to perform their functions are allowed to process such personal data, periodic privacy impact assessments etc.) and technical measures (e.g. use of CDN, encryption, multi factor authentication for UP mail and portals, the conduct of vulnerability and penetration testing and other similar measures) to prevent or mitigate such risks. Kindly note that these measures do not guarantee absolute protection against such risks as when systems are subject to targeted cyberattacks, malware, ransomware, computer viruses, etc. However, UP has also adopted measures in order to deal with security incidents or personal data breaches in compliance with the DPA and National Privacy Commission (NPC) issuances. See the Board of Regents approved UP Data Privacy Manual https://privacy.up.edu.ph/instructions-and-guides/CERTIFIED%20TRUE%20COPY_DATA%20PRIVACY%20MANUAL%202023%20EDITION.pdf which includes security incident and breach response procedures (Part 7, page) and the following forms:

Form 1 Security Incident or Data Breach Report Form

<https://privacy.up.edu.ph/downloadable-forms/UNIVERSITY%20OF%20THE%20PHILIPPINES%20SYSTEM%20ADMINISTRATION%20INCIDENT%20OR%20BREACH%20REPORT%20FORM.docx.pdf>;

Form 2 Preliminary Assessment Form

<https://privacy.up.edu.ph/downloadable-forms/PRELIMINARY%20ASSESSMENT%20FORM%20FOR%20SECURITY%20INCIDENTS%20OR%20PERSONAL%20DATA%20BREACHES.pdf>;

Form 3 Mandatory Personal Data Breach Notification to the National Privacy Commission

<https://privacy.up.edu.ph/downloadable-forms/Mandatory%20Notification%20to%20NPC.pdf>

Form 4 Mandatory Personal Data Breach Notification for Data Subjects

<https://privacy.up.edu.ph/downloadable-forms/Mandatory%20Personal%20Data%20Breach%20Notification%20for%20Data%20Subjects.docx.pdf>

Form 5 Security Incident or Personal Data Breach Report Form

<https://privacy.up.edu.ph/downloadable-forms/SECURITY%20INCIDENT%20OR%20PERSONAL%20DATA%20BREACH%20REPORT.pdf>

We remind you in our various portals and privacy notices to keep your personal data secure by double checking that the UP mail account you are using for UP portals has not been compromised by using Have I Been Pwned, using a strong password for such account <https://itdc.up.edu.ph/about/advisories/2023%2012%2004%20REMINDER%20-%20Use%20Strong%20Passwords%20for%20UP%20Mail%20Accounts.pdf>, using only your UP email account for UP portals and communications with UP as required by UP memos [MEMO TJH 2021-10] Reminder that faculty and students must use UP Mail for official correspondence and data privacy and security measures required for sending attachments and sharing G.pdf not using public, unsecured networks for submitting personal data or at least using VPN if use of such unsecured networks is unavoidable and keeping all your UP account credentials confidential.

ACCESS TO AND CORRECTION OF YOUR PERSONAL DATA AND YOUR RIGHTS UNDER THE DPA

You have the right to access personal data being processed by UP about you. In order for UP to see to it that your personal data are disclosed only to you, the UPSA QMS Secretariat will require the presentation of your UP ID or other documents that will enable UP to verify your identity. In case you process or request documents through a representative, in order to protect your privacy, UP requires you to provide a letter of authorization specifying the purpose for the request of documents or the processing of information, and your UP ID or other valid government-issued ID (GIID), as well as the valid GIID of your representative.

As mentioned above, UP requires you to provide correct information. In the event that your information needs to be updated please follow the instructions found in the relevant portal or website, or kindly get in touch

with the UPSA QMS Secretariat at upsaqms@up.edu.ph or (02) 8981-8500 local 2597

Aside from the right to access and correct your personal data, you have the following rights subject to the conditions and limitations provided under the DPA and other applicable laws and regulations:

1. The right to be informed about the processing of your personal data through this and other applicable privacy notices.
2. The right to object to the processing of your personal data, to suspend, withdraw or order the blocking, removal or destruction thereof from our filing system. Kindly note however that, as mentioned above, there are various instances when the processing of personal data you have provided is necessary for us to comply with UP's mandate, statutory and regulatory requirements, or is processed using a lawful basis other than consent.
3. The right to receive, pursuant to a valid decision, damages due to the inaccurate, incomplete, outdated, false, unlawfully obtained, or unauthorized use of personal data, taking into account any violation of your rights and freedoms as a data subject; and
4. The right to lodge a complaint before the National Privacy Commission provided that you first exhaust administrative remedies by filing a request with the proper offices or a complaint with the UP System DPO through the email address indicated below regarding the processing of your information, or the handling of your requests for access, correction, blocking of the processing of your personal data and the like.

REVISIONS TO THE PRIVACY NOTICE AND QUERIES REGARDING DATA PRIVACY

This privacy notice was updated by deleting references to training activities conducted by the Development Academy of the Philippines through a grant provided by the national government as such activities have been completed and including the processing of personal data of relevant

officials and personnel who are authorized to access and use the HUSAY and SURl portals.

We encourage you to visit the UP System Privacy site [UP PRIVACY POLICIES - HOMEPAGE](#), [HUSAY - HUSAY Portal](#) and [UPSA-SURI](#) from time to time to see any further updates regarding this and other privacy notices that may apply to you. Changes to UP System privacy notices can be seen through the UP System Privacy site.

For queries, comments or suggestions regarding this privacy notice, please contact the University of the Philippines System Data Protection Officer through the following:

1. Via post
c/o the Office of the President 2F North Wing Quezon Hall (Admin Building) University Avenue, UP Diliman, Quezon City 1101 Philippines
2. Through the following landlines
(632)89280110
(632)89818500 loc. 2521
3. Through email
dpo@up.edu.ph